

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON THE DATE INDICATED BELOW.

*Donna Parks*

DATE:

*7/12/04*

**PATENT**

**BOX ISSUE FEE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re:	Patent Application of Shinya Abe et al.	: Group Art Unit 1774
Conf. No.:	8847	: Allowed: June 30, 2004
Appln. No:	09/963,233 ✓	: Examiner: Elizabeth Evans Mulvaney
Filed:	September 25, 2001	: Attorney Docket
Title:	OPTICAL DISC AND METHOD FOR MANUFACTURING THE OPTICAL DISC:	: No. 10407-9 (A1028MT-US1)

**COMMUNICATION AFTER ALLOWANCE**

On October 24, 2002, Applicants filed with the U.S. Patent and Trademark Office an Information Disclosure Statement and form PTO/SB/08A with reference. The form PTO/SB/08A initialed by the Examiner has not been received by Applicants. Accordingly, it is respectfully requested that the Examiner initial and return the PTO/SB/08A form filed on October 24, 2002. Duplicate copies of the Information Disclosure Statement, PTO/SB/08A form and date stamped postcard from the U.S. Patent and Trademark Office are enclosed.

Respectfully submitted,

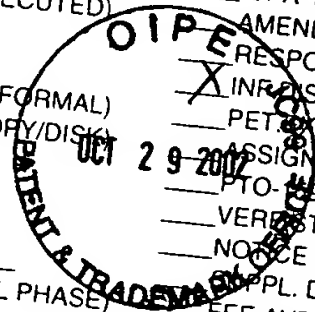
*July 9, 2004*  
(Date)

By: *William W. Schwarze*  
**WILLIAM W. SCHWARZE**

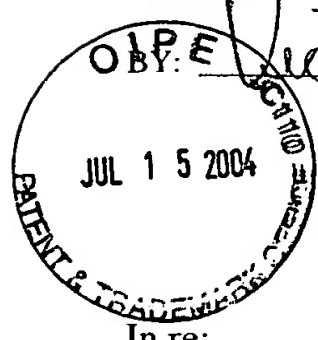
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ATTY WWS SECY US PATENT RETURN POSTCARD  
ATTY DKT # 10407-9us TODAY'S MAILING DATE 10/24/02  
EXPRESS MAIL # \_\_\_\_\_ CERT OF MAIL CERT OF SERVICE  
PAT APP/PATENT/REEXAM/INTF# 09/963,233  
OF Shinya Abe, et al.  
FOR: Optical Disc + Method for Manufacturing the Optical Disc  
RECEIPT IS ACKNOWLEDGED BY THE USPTO FOR THE FOLLOWING:  
\_\_\_\_ PAT AP (PROV/NON-PROV/DES/REISSUE) \_\_\_\_ CPA REQUEST  
\_\_\_\_ DECL & POW (EXECUTED/UNEXECUTED) \_\_\_\_ AMEND/REQ RECONS/ RESTR.REQ. RESPONSE  
\_\_\_\_ PAGES TOTAL TEXT \_\_\_\_ RESPONSE TO MISSING PARTS  
\_\_\_\_ TOTAL # CLAIMS \_\_\_\_ INR DISC. STMT. PTO-1449 & 1 REFS  
\_\_\_\_ SHEETS DRAWING (FORMAL/INFORMAL) \_\_\_\_ PET. EXT. TIME \_\_\_\_ MONTHS  
\_\_\_\_ SEQUENCE LISTING (PAPER COPY/DISK) \_\_\_\_ ASSIGN/CHG NAME/MERGER/SEC. INT  
\_\_\_\_ COMPUTER CODE \_\_\_\_ PTO-1095 FORM  
\_\_\_\_ PRELIMINARY AMENDMENT \_\_\_\_ VERIF STATE - SMALL ENTITY  
\_\_\_\_ PRIORITY DOC. \_\_\_\_ NOTICE APPEAL/APPEAL BRIEF/(3 COPIES)  
COUNTRY \_\_\_\_ NO. \_\_\_\_ FEE AUTH (FINAL/MAINT/DISCLAIMER)  
\_\_\_\_ TRANSMITTAL LTR (US/PCT/NAT'L PHASE) \_\_\_\_ PL. DECL.  
\_\_\_\_ REV/APPT OF ATTORNEY \_\_\_\_ REQUEST (STATUS/CERTIF CORR/COR FILING RT/REFUND)  
TOTAL FEES AUTH CHARGE DEP ACCT. # 50-1017 \$ 0-  
OTHER (PAPER TITLE) \_\_\_\_\_



I HEREBY CERTIFY THAT THE CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW.



BY: Victorie A. Jones

DATE: 10/24/02

**PATENT  
BOX NON-FEE AMENDMENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Patent Application of : Group Art Unit: 2651  
Shinya Abe *et al.* :  
: Conf. No.: 8847 :  
: Appln. No.: 09/963,233 : Examiner: Tan X. Dinh  
: Filed: September 25, 2001 :  
: For: OPTICAL DISC AND METHOD FOR : Attorney Docket  
MANUFACTURING THE OPTICAL DISK : No. 10407-009US  
: (A1028MT-US1)

**INFORMATION DISCLOSURE STATEMENT**

It is requested that the enclosed reference listed on the attached Information Disclosure Citation Form PTO/SB/08A be considered by the Patent Examiner in connection with the above-identified application and be made of record therein.

**Certification Under 37 C.F.R. § 1.97(e)(1)**

I hereby certify that the enclosed reference was first cited in a Communication dated August 12, 2002 from the Taiwanese Patent Office concerning counterpart Taiwan Application No. 90124087, not more than three months prior to the filing of this Statement.

Independent consideration and acknowledgment of the enclosed reference are respectfully requested.

Respectfully submitted,

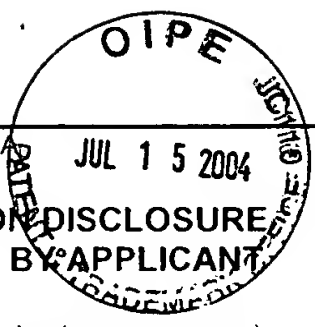
SHINYA ABE *et al.*

October 24, 2002  
(Date)

By: William W. Schwarze  
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WWS:msb  
Enclosures



Form PTO/SB/08A		<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Application Number</b>	09/963,233		
		<b>Filing Date</b>	September 25, 2001		
		<b>First Named Inventor</b>	Shinya Abe et al.		
		<b>Group Art Unit</b>	2651		
		<b>Examiner Name</b>			
<b>Sheet</b>	1	<b>of</b>	1	<b>Attorney Docket Number</b>	10407-0009US (A 1028MT-US1)

U.S. PATENT DOCUMENTS				
Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY
	Number	Kind Code (if known)		
	5,573,831		Suzuki et al.	11-1996

FOREIGN PATENT DOCUMENTS						
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	T <sub>1</sub>
	Country Code	Number	Kind Code (if known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Exr Initials	Include Name of first Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), volume-issue number(s), page(s), date (in parentheses). If a book, also include publisher and city and/or county where published.	T <sub>1</sub>

<b>Examiner Signature</b>		<b>Date Considered</b>	
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